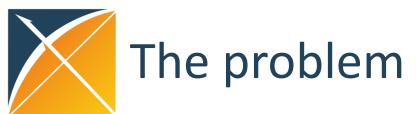


Concept Sets in Secondary-Use Health Analytics

> Sigfried Gold July 17, 2018

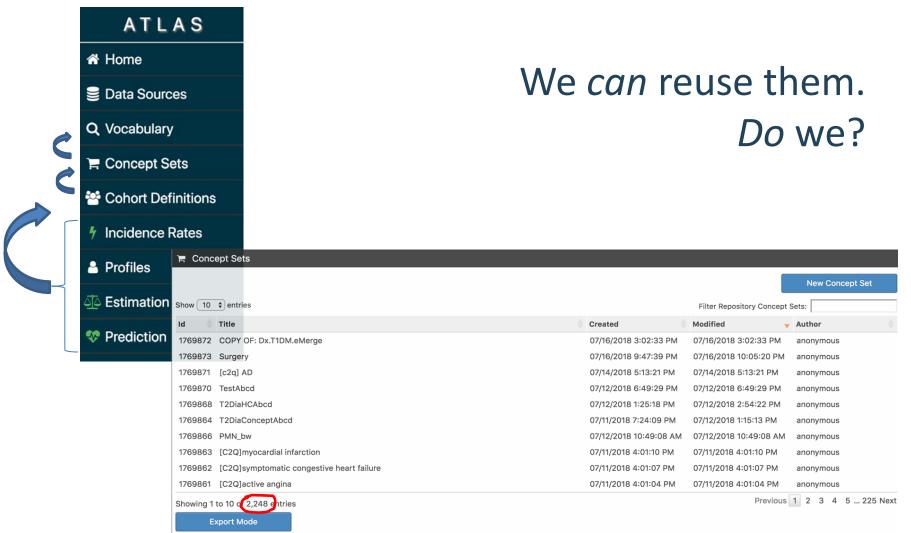


Ad hoc definition of concept sets:

- Wastes effort and knowledge
- Doesn't encourage validation and documentation
- Can lead to large, unintended effects on study results



Concept sets link vocabulary values to everything else





AMIA Symposium paper

Clinical Concept Value Sets and Interoperability in Health Data Analytics

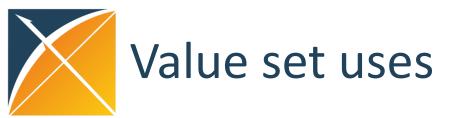
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Abstract

This paper focuses on *value sets* as an essential component in the health analytics ecosystem. We discuss shared repositories of reusable value sets and offer recommendations for their further development and adoption. In order to motivate these contributions, we explain how value sets fit into specific analytic tasks and the health analytics landscape more broadly; their growing importance and ubiquity with the advent of Common Data Models, Distributed Research Networks, and the availability of higher order, reusable analytic resources like electronic phenotypes and electronic clinical quality measures; the; formidable barriers to value set reuse and our introduction of a concept-agnostic orientation to vocabulary collections. The costs of ad hoc value set management and the benefits of value set reuse are described or implied throughout. Our standards, infrastructure, and design recommendations are not systematic or comprehensive but invite further work to support value set reuse for health analytics. *The views represented in the paper do not necessarily represent the views of the institutions or of all the co-authors*.

<u>http://sigfried.org/writing/sgold_et_al_amia_2018.pdf</u>. This is a pre-copy-editing, author-produced PDF of a paper accepted for presentation at the AMIA 2018 Annual Symposium following peer review. The definitive publisher-authenticated version is not yet available. (S61: Oral Presentation - Management Information Systems on November 6, 2018 from 8:30 AM to 10:00 AM.)



- Data element selection options e.g., EHR dropdown
- Validation e.g., of code systems against authorized, versioned vocabulary resources
- **Query** codes/concepts treated as equivalent for use in a clinical query or analytic task



Characteristics of a Formal Value Set Definition

Release 1. June 2016. http://www.hl7.org/implement/ standards/product_brief.cfm? product_id=437

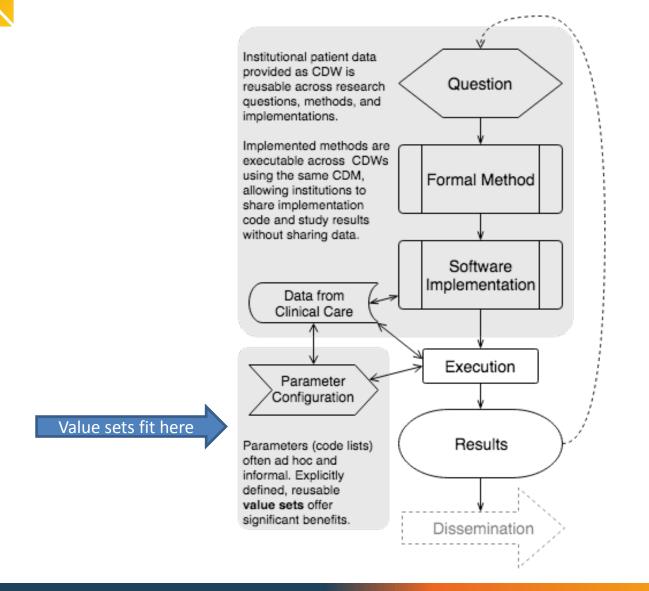
HL7 VSD relevance to OHDSI

| 4 CONTROLLED VOCABULARIES AND VALUE SET 4.1 CONCEPTS, CODE SYSTEMS AND VALUE SETS 4.2 CODED DATA TYPES IN HL7 4.3 TERMINOLOGY BINDING 4.4 VALUE SET DEFINITION CONSISTENCY IN HL7 MOD 4.5 VALUE SET AUTHORING AND MAINTENANCE 5 VALUE SET DEFINITION SPECIFICATION 5.1 VALUE SET ARCHITECTURE 5.1.1 GENERAL OVERVIEW | 13 13 14 ELS 15 16 <u>16</u> 16 16 | OHDSI Arch specificatio Is HL7 VSD Maybe, but |
|--|--|---|
| 5.1.2 RELATIONS 6.1 CONTENT LOGICAL DEFINITION 5.1.3 ALIGNMENT 6.1 CONTENT LOGICAL DEFINITION GEN 5.1.4 CLASS MOE 6.2 CONTENT LOGICAL DEFINITION - EL 5.2 ELEMENTS 6.2.1 LOCKEDDATE 5.2.1 IMPLIED CC 6.2.3 CLDSYNTAXREFERENCE 5.2.2.2 VALUE SET 6.2.4 CONTENT EXPRESSION 5.2.2.1 Value Se 6.2.4.1 Syntax-based Content Express 5.2.2.2 Value Se 6.2.4.2 Non-computable Content Express 5.2.2.2 Value Se 6.2.4.2 Non-computable Content Express 5.2.2.2 Value Se 6.2.4.2 Non-computable Content Express 5.2.2.1 Tootest Defining ELEMENT TYPE 7.1.1 CodeBasedContent Express 5.2.2.2 Value Set CodeBasedContentSet 7.1.1.2.1 CodeBasedContent 7.1.1.1 CodeBasedContentSet 7.1.1.2.1 CodeBasedContentSet 7.1.1.2.2 IncludeRelatedCodes 7.1.1.2.1 IncludeWithProperty 7.1.1.3.1 IncludeWithProperty | NERAL MODEL LEMENTS sions pression EXPRESSION SYNTAX | |
| 7.1.1.1.3.1.1 Name 7.1.1.1.3.1.2 Value 7.1.1.1.3.1.3 Expression 7.1.1.2 RelationshipBasedContent 7.1.1.2.1 RelationshipType 7.1.1.2.2 MinimumMultiplicity 7.1.1.2.3 MaximumMultiplicity 7.1.1.2.4 TargetConcepts 7.1.1.3.1 CodeFilterContent 7.1.1.3.1 ExpressionType 7.1.1.2.2 Expression | 8.4 CODE SYSTEM(S) AND VERSION 8.4.1 CODESYSTEMFOREXPANSION 8.4.1.1 CodeSystem 8.4.1.2 VersionDate 8.4.1.3 VersionDate 8.4.1.4 DescriptiveName 8.4.1.5 UsesCodeSystemPartition 8.4.1.6 UsesCodeSystemSupplement 8.5 EXPANSION STEWARD 8.6 EXPANSION CONCEPT ATTRIBUTES 8.6.1 SORTKEY 8.7 EXPANSION IDENTIFIER 9 IMPLEMENTATION TECHNOLOGIES 9.2 AUTHORING 9.3 REUSE OF VALUE SETS 9.4 DISTRIBUTION 9.5 IMPACT OF CODE SYSTEM EVOLUTION | |

- hitecture Work Group addressing concept set on.
- overkill? "Shelf-ware"?

- ıt...
- oundation for FHIR's simpler value set specs
- ought and effort we could benefit from
- ors essential to any discussion of widespread reuse
- ties for interoperability and reaching more users

Secondary-use analysis task model





Values sets tie it all together

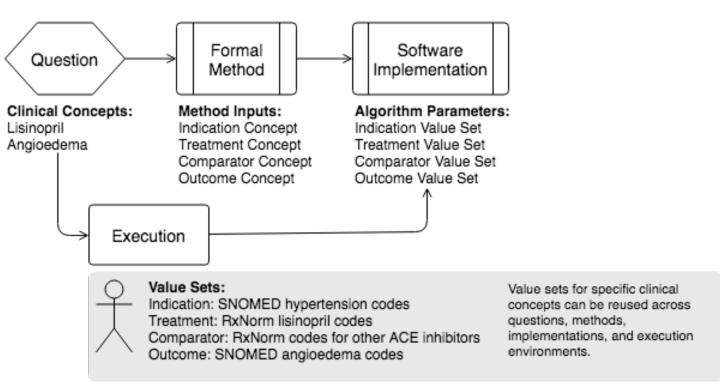


Figure 2. Value sets represent question concepts as parameters for method execution.

- · Analyst, as researcher, asks, "Does lisinopril cause angioedema?"
- As biostatistician, chooses a formal effect estimation method.
- As data scientist or programmer, chooses or constructs implementation that require method input be specified as
 value sets.
- · As informaticist or end user, chooses or constructs value sets to representclinical concepts for execution.



Standards, Infrastructure, and Design Recommendations

- Requirements and specifications for definition syntax, metadata, traceable provenance, documentation, definition processing, and resolution
- Open standards, resources, and governance
 - Maximal openness consistent with users' licensed access
 - Affordances for both controlled and open value set management and curation
 - Certification and access control by institutional authorities (e.g., CMS, NCQA)
 - Crowdsourced curation through public commentary, usage statistics, and welldocumented provenance trails
- Achieve network effects by encouraging synergistic cooperation on harmonization or consolidation projects
- Interactive, information-rich, high-performance visual interfaces
 - Modular components for integration into health analytics development environments and other analytic interfaces
 - Semantic graph visualization linked to local patient data