

Data Quality

Andrew Williams
Clair Blacketer



Challenges: Data collection

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Source data collection: Health care data are collected to support patient care or to bill payors rather than for research.

- The opportunities for errors of omission and distortion are greater than when data are collected for research.
- The power to standardize and improve data collection methods is less than when it is collected for research.



Challenges & Solutions: Data collection

Source data are heterogeneous: OMOP to the rescue!

- Equivalent codes get mapped to a standard concept.
- Standard representation yields
 - Semantic interoperability
 - Common schema to write code against
 - The ability to leverage concept relationships in queries



BUT!



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- It is easy to make mistakes when writing ETL code
 - The Rabbit-in-a-Hat tool supports the creation of unit tests small bits of code that checks whether it functions as intended.



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- It is easy to make mistakes when writing ETL code
 - The Rabbit-in-a-Hat tool supports the creation of unit tests small bits of code that checks whether it functions as intended.
 - Various studies have shown that with scrupulous attention, data can be transformed to the CDM with very little information loss.
 - These studies are cited in the Book of OHDSI



BUT!

Mapping source data to the OMOP CDM is complex!

 Even when coding mistakes are not made, there are many cases where there is more than one defensible way to do the right thing.



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- Even when mistakes are not made, there are many cases where there are more than one defensible way to do the right thing.
 - THEMIS is an ongoing process of defining and documenting conventions that the OHDSI community has agreed upon.
 - Can be found on the CDM Wiki.



Challenges & Solutions: Data collection and normalization

- Healthcare data are prone to omissions and distortions
- Mapping source data to CDM is complex
- There are an enormous number of concepts in each domain and datasets are often very large



Kahn harmonized framework for data quality

Kahn and colleagues did an excellent job of synthesizing the terminology and categories used to conceptualize the data quality errors that affect RWD.

 eGEMs (Generating Evidence & Methods to improve patient outcomes), Vol. 4 [2016], Iss. 1, Art. 18



Conformance: Do data values adhere to specified standards and

formats?



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Completeness: Is a particular variable present OR does it contain all recorded values?



Conformance: Do data values adhere to specified standards and formats?

Completeness: Is a particular variable present OR does it contain all recorded values?

Plausibility: Are data values believable?



Conformance: Adherence to specified standards and formats

- Value
- Relational
- Computation

Completeness: Variable presence OR capture of all recorded values **Plausibility**: Values believability

- Uniqueness
- Atemporal
- Temporal



- **Verification:** assesses expected values and distributions using resources within the local environment.
- Validation: assesses alignment of data values with respect to relevant external benchmarks such as across multiple data sites



Other challenges: Expectations

- People bring the same expectations to healthcare data quality as they do to assessing data collected explicitly for research.
 - The criteria for assessing clinical data warehouse should not be perfection, it should transparency.
 - The goals should be to identify where there might be problems due to collection or ETL coding errors or divergence from conventions and to facilitate actions that address those problems.
- Understanding data provenance completely is desirable, but it might not be necessary for a fulsome assessment of relevant DQ problems when producing RWE.



Goals: Assess whether data are fit for use

FDA's RWE program

Two stage process:

- 1. Assess the clinical data repository level: I.e. a whole OMOP instance
- 2. Assess the clinical dataset derived from the repository for the specific purpose of generating evidence



Goals: Assess whether data are fit for use

FDA's RWE program

The Data Quality Dashboard



Where to begin with Data Quality?

CONTEXTS

ATEGORIES

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

Kahn et al., eGEMS 2016



Where to begin with Data Quality?

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

Data Quality Check

An aggregated summary statistic that can be computed from the data to which a decision threshold can be applied to determine if the statistic meets expectation.



Where to begin with Data Quality?

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

Data Quality Check

An aggregated summary statistic that can be computed from the data to which a decision threshold can be applied to determine if the statistic meets expectation.



An example data quality check...

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records with a value in the YEAR_OF_BIRTH field of the PERSON table less than 1850.



An example data quality check...

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records with

a value in the YEAR_OF_BIRTH field of the

PERSON table less than 1850.



...which we can make more generic...

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	5

The number and percent of records with a value in the *CDM field* of the

CDM table less than a low value.



...and apply to a different example.

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records with

a value in the DAYS_SUPPLY field of the

DRUG_EXPOSURE table less than 0.



What if we add units?

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

For a measurement with associated unit,

the number and percent of records with a

value in the *CDM field* of the *CDM table*

less than a low value.



What if we add units?

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

For Hemoglobin A1c with unit of percent,

the number and percent of records with a

value in the VALUE_AS_NUMBER field of the

MEASUREMENT table less than 4.



An example completeness check...

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records which are not mapped into a standard concept in the CONDITION_CONCEPT_ID field of the CONDITION_OCCURRENCE table.



An example completeness check...

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records which are not mapped into a standard concept in the CONDITION_CONCEPT_ID field of the CONDITION_OCCURRENCE table.



...which we can make more generic...

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records which are not mapped into a standard concept in the *CDM field* of the *CDM table*.



...and apply to a different example.

	Verification	Validation
Plausibility	?	?
Conformance	?	?
Completeness	?	?

The number and percent of records which are not mapped into a standard concept in the UNIT_CONCEPT_ID field of the

MEASUREMENT table.



Data Quality Check *Types*

Check Type	Check Description
Person Completeness	The number and percent of persons in a database that do not have a least one record in the <i>CDM table</i> .
Is Required	The number and percent of records with a NULL value in a <i>CDM field</i> of a <i>CDM table</i> that is considered not nullable.
Is Foreign Key	The number and percent of records that have a value in a foreign key <i>CDM field</i> of a <i>CDM table</i> that does not exist in the <i>foreign key table</i> .
Is Standard Valid Concept	The number and percent of records that do not have a standard, valid concept in the CDM field CDM table.
Plausible Temporal After	The number and percent of records with a value in a <i>CDM field</i> of a <i>CDM table</i> that occurs prior to a <i>plausible date</i> .
Plausible Value Low	For a given CONCEPT_ID and UNIT_CONCEPT_ID pair, the number and percent of records with a value lower than the plausible low value.
Plausible Gender	For a given <i>CONCEPT_ID</i> , the number and percent of records associated with persons with an <i>implausible gender</i> .



Data Quality Check Types

	Verification	Validation
Plausibility	6	1
Conformance	7	1
Completeness	4	1

20 Check *Types*



Data Quality Check Totals

	Verification	Validation
Plausibility	1878	287
Conformance	681	104
Completeness	386	15

Total 3,351 Checks



Data Quality Check *Totals*



Data Quality Check

An aggregated summary statistic that can be computed from the data

to which a decision threshold can be applied to determine if the statistic meets expectation.



Check Category	Check Description	Check Result
Verification - Plausibility	The number and percent of records with a value in the YEAR_OF_BIRTH field of the PERSON table less than 1850.	0%
Verification - Plausibility	The number and percent of records with a value in the DAYS_SUPPLY field of the DRUG_EXPOSURE table less than 0.	0%
Verification - Plausibility	For Hemoglobin A1c percent, the number and percent of records with a value in the VALUE_AS_NUMBER field of the MEASUREMENT table less than 4.	0.01%
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field CONDITION_CONCEPT_ID in the CONDITION_OCCURRENCE table.	0.02%
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field UNIT_CONCEPT_ID in the MEASUREMENT table.	93.66%



Check Category	Check Description	Check Result
Verification - Plausibility	The number and percent of records with a value in the YEAR_OF_BIRTH field of the PERSON table less than 1850.	0%
verincati	low do we decide if these esults are 'good enough'?	1%
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field CONDITION_CONCEPT_ID in the CONDITION_OCCURRENCE table.	0.02%
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field UNIT_CONCEPT_ID in the MEASUREMENT table.	93.66%



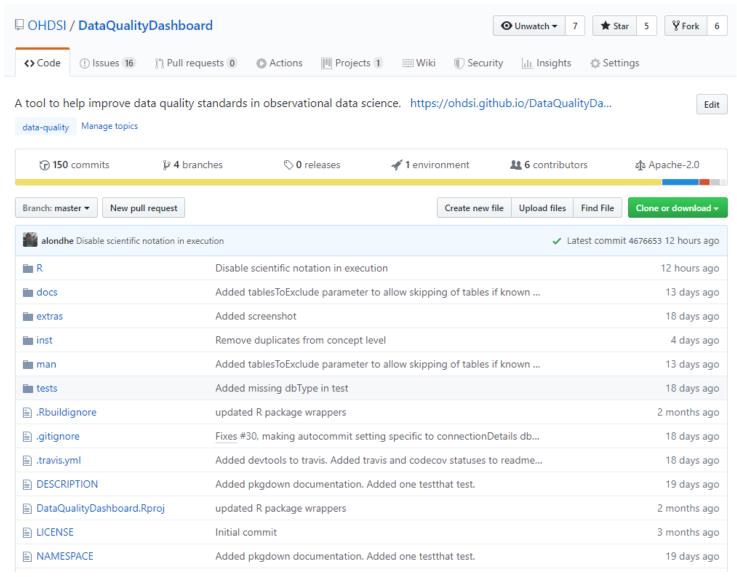
Check Category	Check Description	Check Result	Decision Threshold	Pass / Fail
Verification - Plausibility	The number and percent of records with a value in the YEAR_OF_BIRTH field of the PERSON table less than 1850.	0%	0%	PASS
Verification - Plausibility	The number and percent of records with a value in the DAYS_SUPPLY field of the DRUG_EXPOSURE table less than 0.	0%	1%	PASS
Verification - Plausibility	For Hemoglobin A1c percent, the number and percent of records with a value in the VALUE_AS_NUMBER field of the MEASUREMENT table less than 4.	0.01%	5%	PASS
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field CONDITION_CONCEPT_ID in the CONDITION_OCCURRENCE table.	0.02%	5%	PASS
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field UNIT_CONCEPT_ID in the MEASUREMENT table.	93.66%	5%	FAIL



Check Category	Check Description	Check Result	Decision Threshold	Pass / Fail
Verification - Plausibility	The number and percent of records with a value in the YEAR_OF_BIRTH field of the PERSON table less than 1850.	0%	0%	PASS
Verification - Plausibility	The number and percent of records with a value in the DAYS_SUPPLY field of the DRUG_EXPOSURE table less than 0.	0%	1%	PASS
Verification - Plausibility	For Hemoglobin A1c percent, the number and percent of records with a value in the VALUE_AS_NUMBER field of the MEASUREMENT table less than 4.	0.01%	5%	PASS
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field CONDITION_CONCEPT_ID in the CONDITION_OCCURRENCE table.	0.02%	5%	PASS
Verification - Completeness	The number and percent of records with a value of 0 in the standard concept field UNIT_CONCEPT_ID in the MEASUREMENT table.	93.66%	95%	PASS



Data Quality Dashboard

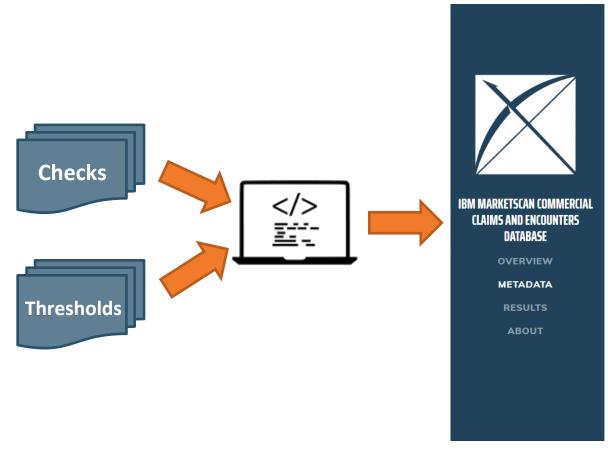




https://github.com/OHDSI/ DataQualityDashboard



Data Quality Dashboard



RESULTS

IBM MARKETSCAN COMMERCIAL CLAIMS AND ENCOUNTERS DATABASE

Results generated at 2019-09-06 22:20:12 in 7 hours

Sh	ow 5 ▼ e	ntries				Search:	
	STATUS	CONTEXT	CATEGORY	SUBCATEGORY	LEVEL	DESCRIPTION	% RECORDS
+	PASS	Verification	Completeness	None	FIELD	The number and percent of records with a NULL value in the range_high of the MEASUREMENT. (Threshold=100%).	82.14%
+	PASS	Verification	Completeness	None	FIELD	The number and percent of records with a NULL value in the visit_detail_id of the MEASUREMENT. (Threshold=100%).	80.90%
+	PASS	Verification	Completeness	None	FIELD	The number and percent of records with a NULL value in the value_source_value of the MEASUREMENT. (Threshold=100%).	79.89%
+	PASS	Validation	Completeness	None	TABLE	The number and percent of persons in the CDM that do not have at least one record in the DEVICE_EXPOSURE table (Threshold=100%)	
+		Verification	Plausibility	Atemporal	CONCEPT	For the combination of CONCEPT_ID 3016049 (Testosterone Free [Mass/volume] in Serum or Plasma) and UNIT_CONCEPT_ID 8845 (picogram per milliliter), the number and percent of records that ha value less than 5.00e+00. (Threshold=1%).	72.43% re a
Sh	owing 126	to 130 of 3,35	1 entries			Previous 1 25 26 27	671 Next

Column visibility



Data Quality Dashboard – IBM CCAE

RESULTS



BM MARKETSCAN COMMERCIAL CLAIMS AND ENCOUNTERS DATABASE

OVERVIEW

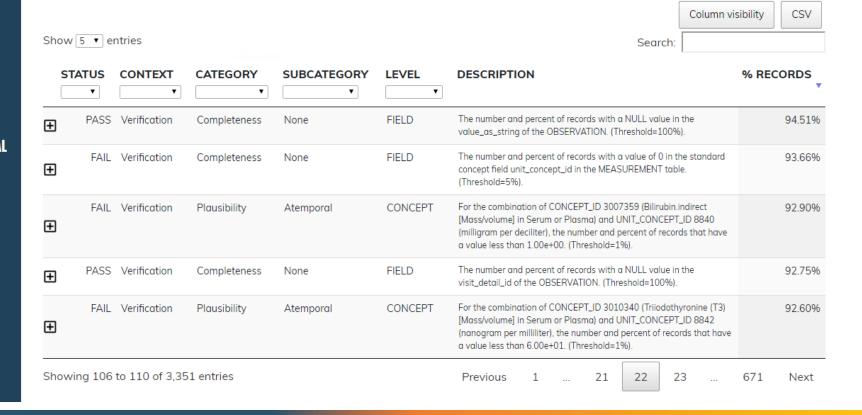
METADATA

RESULTS

ABOUT

IBM MARKETSCAN COMMERCIAL CLAIMS AND ENCOUNTERS DATABASE

Results generated at 2019-09-06 22:20:12 in 7 hours





Data Quality Dashboard – IBM CCAE



	STATUS	CONTEXT	CATEGORY	SUBCATEGORY	LEVEL _▼	DESCRIPTION	% RECORDS
ŧ] PASS	Verification	Completeness	None	FIELD	The number and percent of records with a NULL value in the value_as_string of the OBSERVATION. (Threshold=100%).	94.51%
+		Verification	Completeness	None	FIELD	The number and percent of records with a value of 0 in the standard concept field unit_concept_id in the MEASUREMENT table. (Threshold=5%).	93.66%
ŧ		Verification	Plausibility	Atemporal	CONCEPT	For the combination of CONCEPT_ID 3007359 (Bilirubin.indirect [Mass/volume] in Serum or Plasma) and UNIT_CONCEPT_ID 8840 (milligram per deciliter), the number and percent of records that have a value less than 1.00e+00. (Threshold=1%).	92.90%
E] PASS	Verification	Completeness	None	FIELD	The number and percent of records with a NULL value in the visit_detail_id of the OBSERVATION. (Threshold=100%).	92.75%
	Name:	measure	ValueCompleteness				
	Description:	The num	ber and percent of re	ecords with a NULL va	llue in the visit_c	letail_id of the OBSERVATION. (Threshold=100%).	
	Level:	FIELD					
	# Rows Violo	ted: 5989330)347				
	% Rows Violated:	92.75%					
	Execution Tir	ne: 15.4474	62 secs				



Data Quality Dashboard – IBM CCAE



IBM MARKETSCAN COMMERCIAL CLAIMS AND ENCOUNTERS DATABASE

OVERVIEW

METADATA

RESULTS

ABOUT

```
measureValueCompleteness
Name:
                  The number and percent of records with a NULL value in the visit_detail_id of the OBSERVATION. (Threshold=100%).
Description:
Level:
                  FIELD
# Rows Violated: 5989330347
% Rows
                  92.75%
Violated:
Execution Time: 15.447462 secs
                  /*******
SQL Query:
                  MEASURE VALUE COMPLETENESS
                  Computing number of null values and the proportion to total records per field
                  Parameters used in this template:
                  cdmDatabaseSchema = cdm ibm ccae v1022
                  cdmTableName = OBSERVATION
                  cdmFieldName = visit_detail_id
                  *********/
                  SELECT num_violated_rows, CASE WHEN denominator.num_rows = 0 THEN 0 ELSE 1.0*num_violated_rows/denominator.num_rows END AS pct_violated_rows
                  FROM
                          SELECT COUNT(violated_rows.violating_field) AS num_violated_rows
                          FROM
                                  SELECT 'OBSERVATION.visit detail id' AS violating field, OBSERVATION.*
                                  FROM cdm_ibm_ccae_v1022.OBSERVATION
                                  WHERE cdm_ibm_ccae_v1022.OBSERVATION.visit_detail_id_IS_NULL
                          ) violated_rows
                  ) violated_row_count,
                          SELECT COUNT(*) AS num_rows
                          FROM cdm_ibm_ccae_v1022.OBSERVATION
                  ) denominator
```



Data Quality Dashboard – Korea



ABOUT

DATA QUALITY ASSESSMENT

THE NATIONAL HEALTH INSURANCE SERVICE? NATIONAL SAMPLE COHORT

Results generated at 2019-08-28 14:14:40 in 2 hours

	Verification				Validation			Total				
	Pass Fail Total % Pass		Pass	Fail	Total	% Pass	Pass	Fail	Total	% Pass		
Plausibility	173	7	180	96%	211	72	283	75%	384	79	463	83%
Conformance	631	40	671	94%	104	0	104	100%	735	40	775	95%
Completeness	378	8	386	98%	2	13	15	13%	380	21	401	95%
Total	1182	55	1237	96%	317	85	402	79%	1499	140	1639	91%