



# dGEM-COVID project: Applying the <u>Decentralized</u> <u>Generalized Linear Mixed Effects Model (dGEM) for Hospital Profiling of COVID-19 Mortality Data across OHDSI Network</u>

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## Introduction: dGEM-COVID

#### Aim:

• A distributed network analysis of hospital profiling on the COVID-19 mortality rate

# Hospital profiling

#### ▶ Goal:

- compare the quality of care between hospitals via structural measures
  - E.g., nursing ratios, presence of residency programs, availability of advanced technology, volume



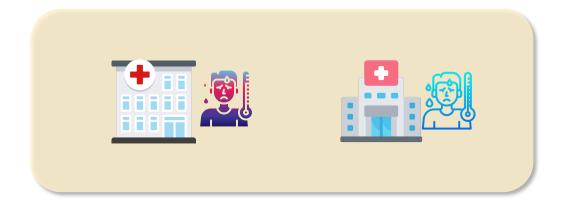
# Hospital profiling

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### **▶** Challenge:

"case-mix" situation



# Hospital profiling

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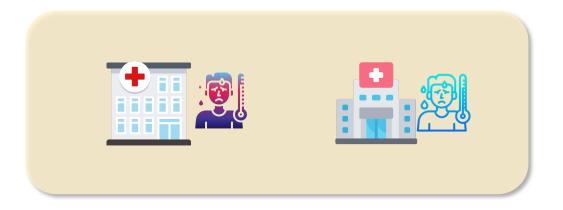
- compare the quality of care between hospitals via structural measures
  - E.g., nursing ratios, presence of residency programs, availability of advanced technology, volume

#### **▶** Challenge:

"case-mix" situation

#### Question:

 How can we make fair comparison across hospitals?





## **A Solution**

(taking mortality as an example)

evaluate the "risk-standardized" mortality rate by

counterfactually treating all patients at a given hospital

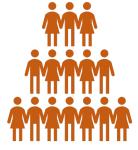




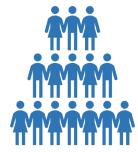






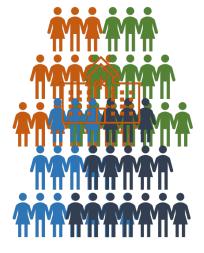








## Counterfactual



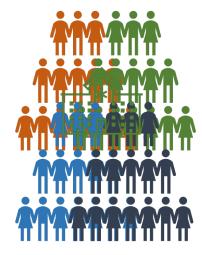






## Counterfactual











## Counterfactual











# **Challenges**

If a hospital want to participant the ranking, but <a href="mailto:cannot share">cannot share</a> their patient-level data

## **A Solution**

dGEM: Decentralized algorithm for

**G**eneralized mixed **E**ffect **M**odel

- Federated learning
- No need to transfer patient-level data
- Only transfer the hospital-specific effects

## Conventional

## <u>dGEM</u>



 $\widehat{\gamma}_1$ 

















## Introduction: dGEM-COVID

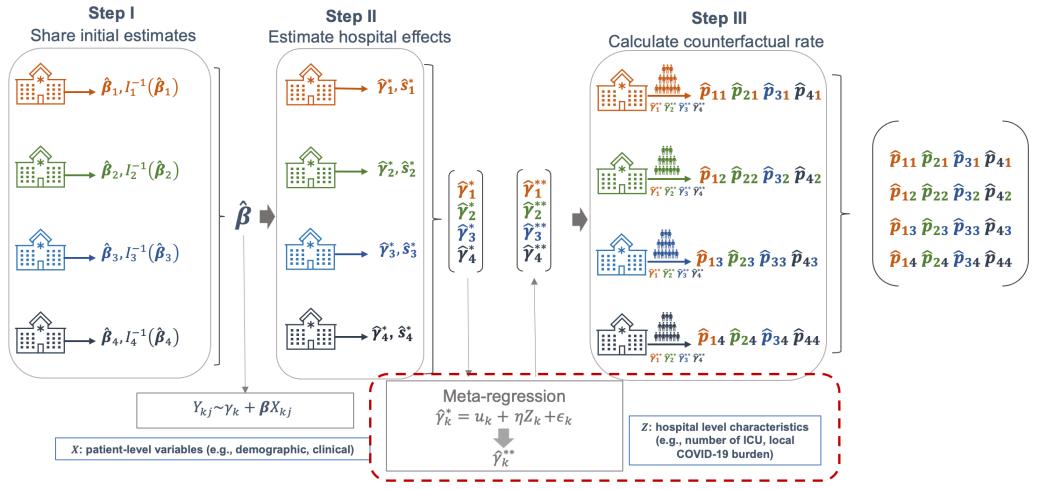
#### Aim:

A distributed network analysis of hospital profiling on the COVID-19 mortality rate

#### Method:

- Decentralized Generalized Linear Mixed Effects Model (dGEM)
  - Privacy-preserving (patient-level and hospital-level), communication-efficient, heterogeneity-aware, hospital-level calibration

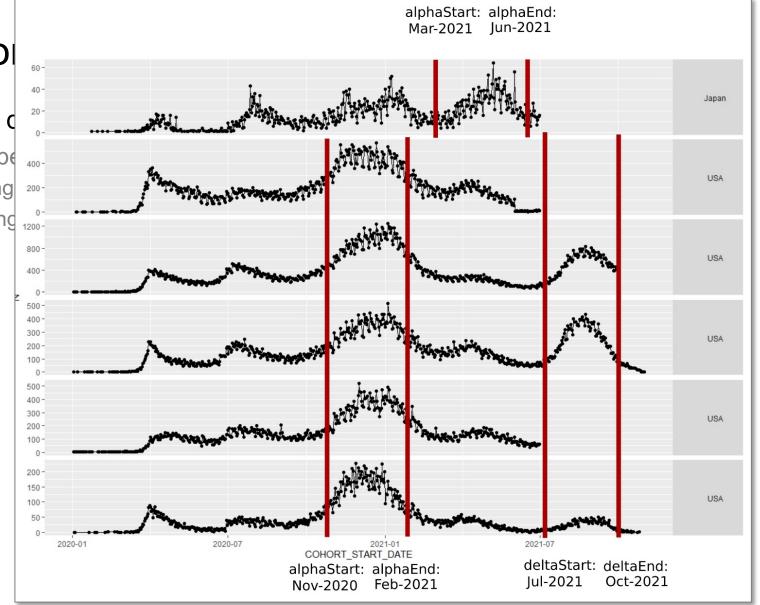
## dGEM Workflow



**Hospital-level Calibration** 

## Introductio

- Study cohort of
  - Two time pe
    - i) during
    - ii) during
       1200-



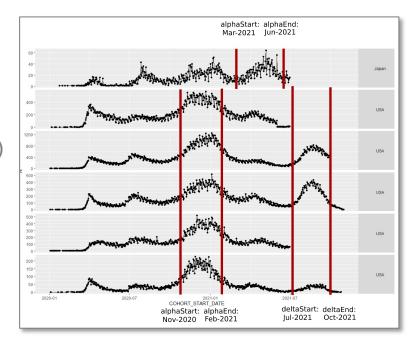
## Introduction: dGEM-COVID

#### Study cohort details:

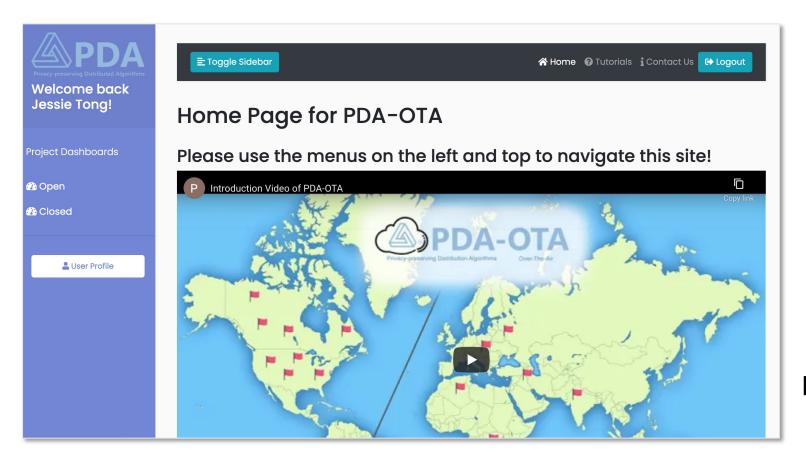
- Two time periods for the hospital profiling:
  - i) during the Alpha wave (in the USA approximately Nov 2020-Feb 2021)
  - ii) during the Delta wave (in the USA approximately Jul 2021-Oct 2021)
- Outcome of interest:
  - COVID-19 Mortality
- Measure for hospital profiling:
  - Standardized COVID-19 Mortality Rate
- Variables:
  - Age, Charlson comorbidity, gender, history of cancer/chronic obstructive pulmonary disease/heart disease/hypertension/hyperlipidemia/kidney disease/obesity/diabetes, number of visits recorded in visit table in 2019

#### Target journal and submission timeline:

Nature Methods, July 2022



# PDA-OTA: Privacy-preserving Distributed Algorithms Over the Air





https://pda-ota.pdamethods.org/login



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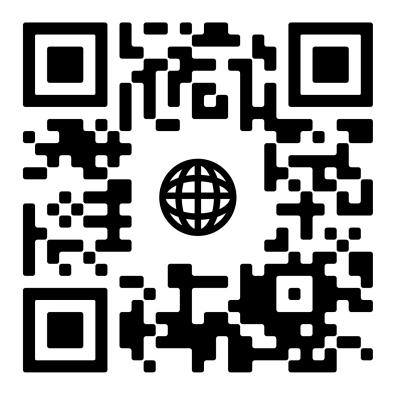
#### Representing: UPenn Open Projects

Project Name	Creation Date	Sites uploaded (*)	Rounds completed (**)	Lead Site	Invitation Code		
Lead Projects							
+ New Project							
dGEM_Covid_Alpha	2022-05-23 11:37:22	0/8	0/3	UPenn	98930	m Delete Project	
dGEM_Covid_Delta	2022-05-23 11:38:11	0/9	0/3	UPenn	73776	m Delete Project	
Participating Projects							
+ Join Project							
(*) Sites uploaded - how many sites have finished uploading (for the current round) / total number of participating sites							
(**) Rounds completed - how many rounds have been completed / total number of rounds							

File	Round	Date Uploaded
<b>₺</b> optum_ehr_initialize.json	1	2022-05-02 17:11:27 (EST)
<b>♣</b> optum_ehr_derive.json	2	2022-05-02 17:16:14 (EST)
<b>L</b> optum_ehr_estimate.json	3	2022-05-02 17:19:32 (EST)

File	Round	Date Uploaded
	1	2022-05-02 17:05:23 (EST)
<b>L</b> ccae_derive.json	2	2022-05-02 17:16:23 (EST)
<b>₺</b> ccae_estimate.json	3	2022-05-02 17:19:41 (EST)





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https://github.com/ohdsi-studies/dGEMcovid