

A Pilot Characterization Study Assessing Health Equity in Mental Healthcare Delivery within the State of Georgia

November 2022

Jacob Zelko (PI), Malina Hy, Varshini Chinta, Emily Liau, Morgan Knowlton

November, 2022

Background

- Mental health care varies across populations
 - Internal reasons:
 - Beliefs
 - Attitudes
 - External reasons:
 - Socioeconomic factors
 - Insurance status
 - Experiences with care providers

Project Goals

Characterize populations with mental health conditions, investigate prevalence of mental health care, and utilization of mental health resources in rural and urban US communities

- Target 1: Identify vulnerable populations and their characteristics
- Target 2: Enable large scale observational health research

Project Goals: Target 1

Identify vulnerable populations and their characteristics

- Leverage claims data, electronic health records, surveys
- Develop clinical phenotypes around mental health conditions
- Focus around depression, bipolar disorder, suicidality

Project Goals: Target 2

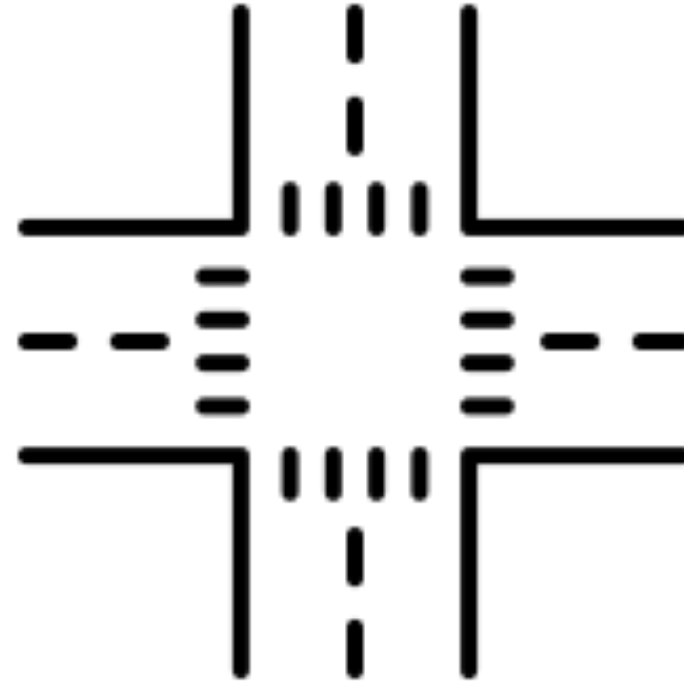
Enable Large Scale Observational Health Research

- Utilize a federated research model
- Align research package with OHDSI standards
- Develop strategic partnerships with data partners

What Is Meant by Characterization

Characterize individuals seen for mental health care at least once across axes such as:

- Condition
- Age
- Race
- Gender
- Location
- Care setting



Characterization Analyses

Baseline Characterization: Characterize the individuals being seen for mental health care services (related to depression, bipolar disorder, and suicidal ideation) at least one time – including hospitalization events.

Characterization Schemes

- **Follow-up Characterization:** Characterize patients who are seen only one time for mental health conditions. Areas of interest include:
 - How do the characteristics of patients who are seen only one time for mental health conditions differ from those who continue to receive care?
 - Of the patients who are seen only once for mental health conditions, do they continue to be seen for other conditions?
 - For those who continue to receive mental health care, how do outcomes for other conditions differ from those who were seen only once?



A Pilot Characterization Study Assessing Health Equity in Mental Healthcare Delivery within the State of Georgia

Jacob Zelko^{1*}, Malina Hy^{1,2}, Jon Duke^{1,2}, Varshini Chinta^{1,2}, Emily Liaw^{1,3}, Morgan Knowlton^{1,2}



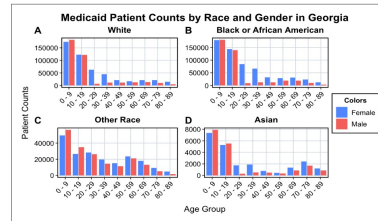
1. Georgia Tech Research Institute 2. Georgia Institute of Technology 3. Baylor University
(*) Corresponding author: jacob.zelko@gtri.gatech.edu

Background

Healthcare disparities continue to be a concern in the US. [1,2] Issues persist across population factors, such as race [3], socioeconomic status [3], provider availability [4], geographic location [5], and their intersections [7]. One region that is known for vulnerability factors [9] is the state of Georgia as it records the poorest mental health outcomes in the US [8] and is highly racially and ethnically diverse [10]. A pilot characterization was performed to establish baseline metrics to potentially assess differences in access to care and in diagnostic practices across bipolar disorder, depression, and suicidality patient subpopulations.

Methods

Data Source: ~2.2 million Georgia Medicaid claims from the Centers for Medicare and Medicaid Services (CMS) were studied over 1999 – 2014 via the Personal Summary, Inpatient, Other Services, and Prescription Drug MAX Files. The right figure shows the spread of these patients by gender and age groupings broken out across race.



Tools: Novel tooling (fig. & tab. left) was prototyped to define, examine, and explore niche subpopulations (fig. right) by strata (e.g. race, condition, age group, etc.).

```
import DataFrames: Not, combine, groupby, nrow, outerjoin
import OMOPCommons: create as cc
import HealthSampleData: Eumonia
import SQLite: DB

conn = DB{Eumonia}()
cc.generateDatabaseDetails!(sqlite, "main")
cc.generateTables!(conn)

patient_ids = [6, 123, 129, 16, 65, 74, 38, 187, 18, 111]
patients_gender = cc.getPatientGender(patient_ids, conn)
patients_age_groups = cc.getPatientAgeGroup(patient_ids, conn)

patient_df = outerjoin(patients_gender, patients_age_groups; on = :person_id)
df = df[!, Not(:person_id)]
df = groupby(df, [:gender_concept_id, :age_group])
df = combine(df, nrow => :counts)
```

gender_concept_id	race_concept_id	age_group	counts
8532-0	8516-0	50-59	2
8507-0	8527-0	70-79	2
8507-0	8527-0	40-49	1
8532-0	8527-0	50-59	4
8532-0	8527-0	40-49	1

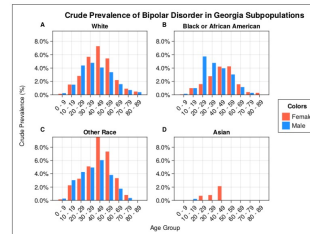


Outcome Measures: Crude prevalence rates for patient subpopulations were computed. The period, *p*, are the years data was examined, simplifying period prevalence, (1), to (2) where, *C*, are patients meeting a subpopulation criteria and, *N*, are all patients matching a subpopulation.

$$(1) P = \frac{C + C_p}{N + N_p}$$

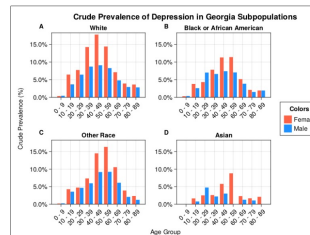
$$(2) P = \frac{C}{N}$$

Results



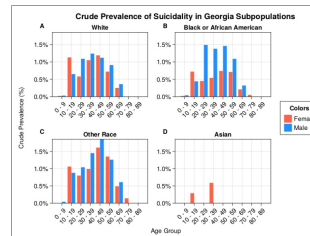
Age Groups	White		Black or African American		Other Race		Asian	
	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	
0-9	0.24 (0.0)	0.14 (0.0)	0.14 (0.1)	0.06 (0.0)	0.27 (0.0)	0.15 (0.0)	N/A	
10-19	1.51 (0.0)	1.53 (0.0)	0.99 (0.5)	0.96 (0.5)	3.04 (1.5)	2.27 (0.7)	0.22 (1.2)	
20-29	4.38 (0.0)	2.82 (0.0)	5.73 (1.3)	3.6 (2.2)	4.27 (0.1)	3.26 (0.4)	N/A	
30-39	4.75 (0.0)	5.68 (0.0)	4.77 (0.0)	2.78 (0.2)	4.15 (0.1)	5.12 (0.6)	N/A	
40-49	4.08 (0.0)	7.27 (0.0)	3.96 (0.1)	4.21 (0.0)	9.06 (1.9)	8.63 (2.0)	N/A	
50-59	3.38 (0.0)	5.44 (0.0)	3.04 (0.3)	4.25 (1.1)	3.83 (0.4)	7.35 (1.9)	N/A	
60-69	3.99 (0.0)	2.2 (0.0)	1.15 (0.4)	1.57 (0.5)	3.76 (0.1)	3.95 (1.1)	N/A	
70-79	0.71 (0.0)	0.01 (0.0)	0.19 (0.4)	0.4 (0.1)	0.38 (0.3)	0.81 (0.1)	N/A	
80-89	0.39 (0.0)	0.48 (0.0)	N/A	N/A	0.20 (0.1)	N/A	N/A	

Crude Prevalence of Bipolar Disorder in Georgia Subpopulations* Several negative values observed in the "Other Race" subpopulations suggest higher prevalence rates of bipolar disorder. Asian subpopulations were very poorly represented by this data.



Age Groups	White		Black or African American		Other Race		Asian	
	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	
0-9	0.41 (0.0)	0.36 (0.0)	0.37 (0.0)	0.33 (0.0)	0.22 (0.2)	0.18 (0.3)	N/A	
10-19	3.67 (0.0)	6.45 (0.0)	2.6 (1.0)	3.79 (2.6)	3.57 (0.1)	4.28 (2.1)	0.78 (2.8)	
20-29	6.43 (0.0)	7.75 (0.0)	7.02 (0.8)	4.32 (3.4)	4.87 (1.7)	4.74 (3.0)	2.53 (0.2)	
30-39	6.71 (0.0)	14.26 (0.0)	6.62 (0.9)	7.82 (6.4)	5.97 (2.7)	7.26 (9.2)	2.21 (6.5)	
40-49	9.09 (0.0)	17.79 (0.0)	7.44 (1.6)	11.31 (6.4)	8.01 (9.0)	14.52 (9.2)	3.02 (6.0)	
50-59	8.28 (0.0)	14.41 (0.0)	7.06 (1.2)	11.41 (1.0)	5.24 (0.9)	18.36 (1.9)	6.82 (5.9)	
60-69	4.8 (0.0)	7.12 (0.0)	3.85 (0.9)	5.16 (1.9)	6.12 (1.3)	10.57 (3.4)	1.27 (3.5)	
70-79	2.89 (0.0)	3.91 (0.0)	1.52 (1.4)	2.11 (1.8)	2.09 (0.8)	3.9 (0.3)	1.07 (1.8)	
80-89	2.82 (0.0)	3.63 (0.0)	1.93 (0.9)	1.94 (1.6)	1.25 (0.5)	2.36 (1.2)	2.11 (0.5)	

Crude Prevalence of Depression in Georgia Subpopulations* In the "Other Race" subpopulations, some negative values are observed. Calculated metrics across subpopulations were reported for nearly every examined subpopulation.



Age Groups	White		Black or African American		Other Race		Asian	
	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	
0-9	0.00 (0.0)	0.00 (0.0)	0.04 (0.0)	0.02 (0.0)	0.04 (0.0)	0.04 (0.0)	N/A	
10-19	0.05 (0.0)	1.13 (0.0)	0.44 (0.2)	0.72 (0.4)	1.08 (0.2)	1.06 (0.0)	0.29 (0.8)	
20-29	1.09 (0.0)	0.58 (0.0)	1.49 (0.4)	0.45 (0.1)	1.04 (0.0)	0.8 (0.2)	N/A	
30-39	1.24 (0.0)	1.05 (0.0)	1.38 (0.4)	0.54 (0.1)	1.45 (0.2)	0.99 (0.0)	0.59 (0.4)	
40-49	1.12 (0.0)	1.19 (0.0)	1.49 (0.4)	0.78 (0.4)	1.95 (0.7)	1.41 (0.4)	N/A	
50-59	0.91 (0.0)	0.72 (0.0)	0.71 (0.1)	1.09 (0.1)	0.71 (0.1)	1.29 (0.3)	1.35 (0.4)	
60-69	0.36 (0.0)	0.25 (0.0)	0.32 (0.4)	0.21 (0.4)	0.61 (0.2)	0.49 (0.4)	N/A	
70-79	N/A	N/A	N/A	0.05 (N/A)	N/A	0.14 (N/A)	N/A	
80-89	N/A	N/A	N/A	N/A	N/A	N/A	N/A	

Crude Prevalence of Depression in Georgia Subpopulations* Several negative values were observed for the "Other Race" subpopulations. Interestingly, several negative values for only the "Black or African American" male subpopulations is observed.

Conclusions

Based on this exploratory approach, Georgia Medicaid subpopulations with chronic mental illness could face inequitable conditions. Future work includes examining patients' follow-up to care patterns to assess access to care and diagnostic practices. Possible factors to be examined in this process could be smaller geographical regions, patient visit types, and other factors. Finally, scrutinizing overall representativeness or fairness in subpopulations from data such as this could be explored.

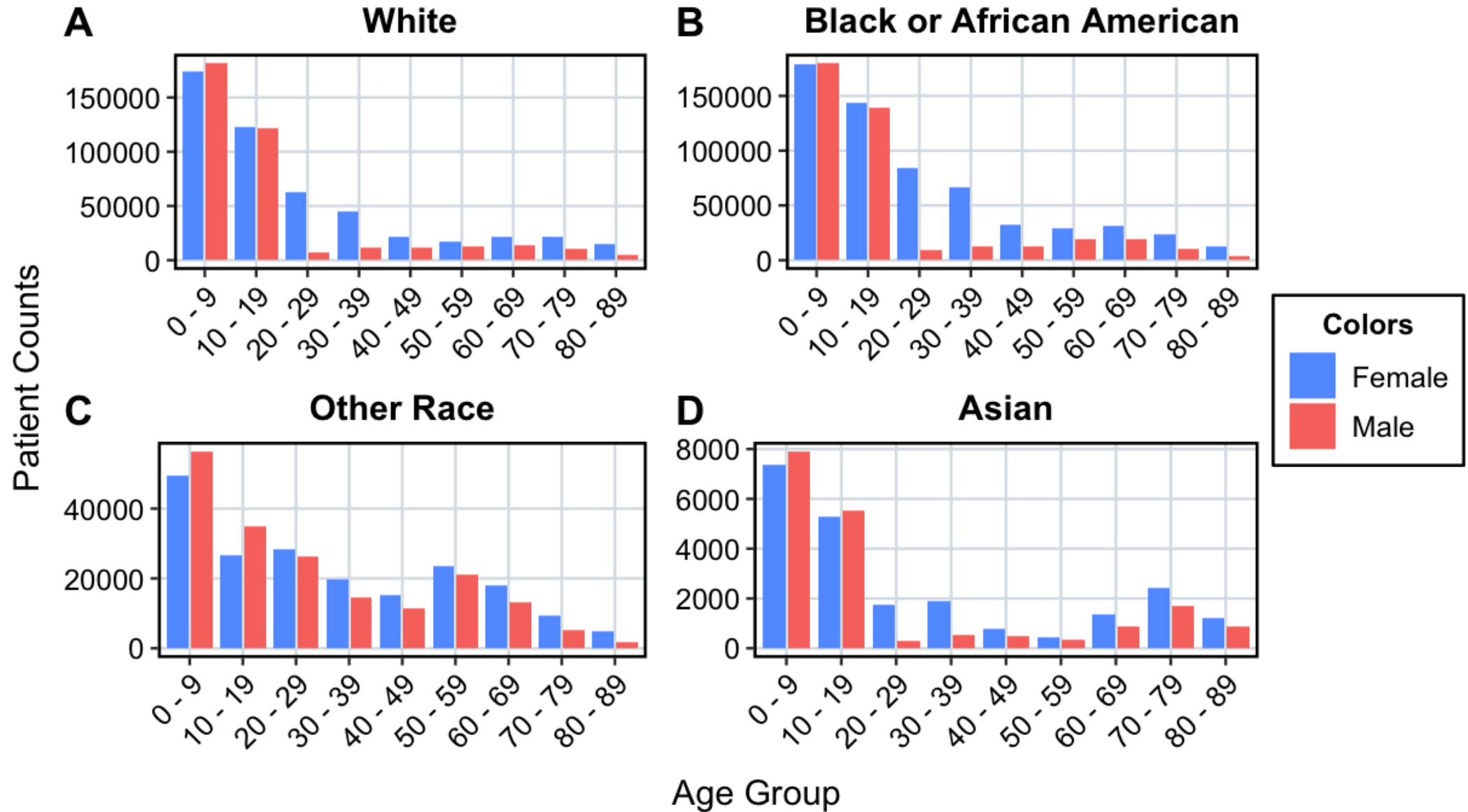
[1] R. Crosby, M. L. Wendel, R. C. Vanderpool, and B. R. Casey, Rural populations and health: Determinants, disparities, and solutions. John Wiley & Sons, 2012.
[2] Y. Sun, S. Bhawe, J. Altschuler, and N. Elhaddad, "Assessing Phenotype Definitions for Algorithmic Fairness," *ARXIV220305174 Cs Q-Bio*, Mar. 2022, Accessed: Apr. 20, 2022. [Online].
[3] S. L. Cutler, B. J. Boruff, and W. L. Shirley, "Social vulnerability to environmental hazards," in *Hazards vulnerability and environmental justice*. Routledge, 2012, pp. 143-160.
[4] S. Sani, M. Hendryx, and K. Simon, "Medicaid expansion under the Affordable Care Act and insurance coverage in rural and urban areas," *J. Rural Health*, vol. 33, no. 2, pp. 217-226, 2018.
[5] J. Warren and K. B. Smalley, "Rural public health: Best practices and preventive models," 2014.

[6] L. Shi and G. D. Stevens, Vulnerable populations in the United States. John Wiley & Sons, 2021.
[7] D. M. Gray, A. Anyane-Yeboa, S. Balboa, R. B. Issack, and F. P. May, "COVID-19 and the other pandemic: populations made vulnerable by systemic inequity," *Nat. Rev. Gastroenterol. Hepatol.*, vol. 17, no. 9, pp. 520-522, Sep. 2020.
[8] W. C. Reeves et al., "Mental illness surveillance among adults in the United States," 2011.
[9] Centers for Disease Control and Prevention, "CDC/NATSDQ Social Vulnerability Index 2010 Database US.".
[10] E. Jensen et al., "The Chance That Two People Chosen at Random Are of Different Race or Ethnicity Groups Has Increased Since 2010," *United States Census Bureau*, Aug. 2021. Accessed: Sep. 11, 2022. [Online].

Data Used

- 2.2 Million Medicaid Patients from Georgia
- 1999 – 2014 data range; ICD9; CMS Coding (OMB, etc.)
- CMS MAX Files used:
 - Personal Summary
 - Inpatient
 - Other Services
 - Prescription Drug

Medicaid Patient Counts by Race and Gender in Georgia



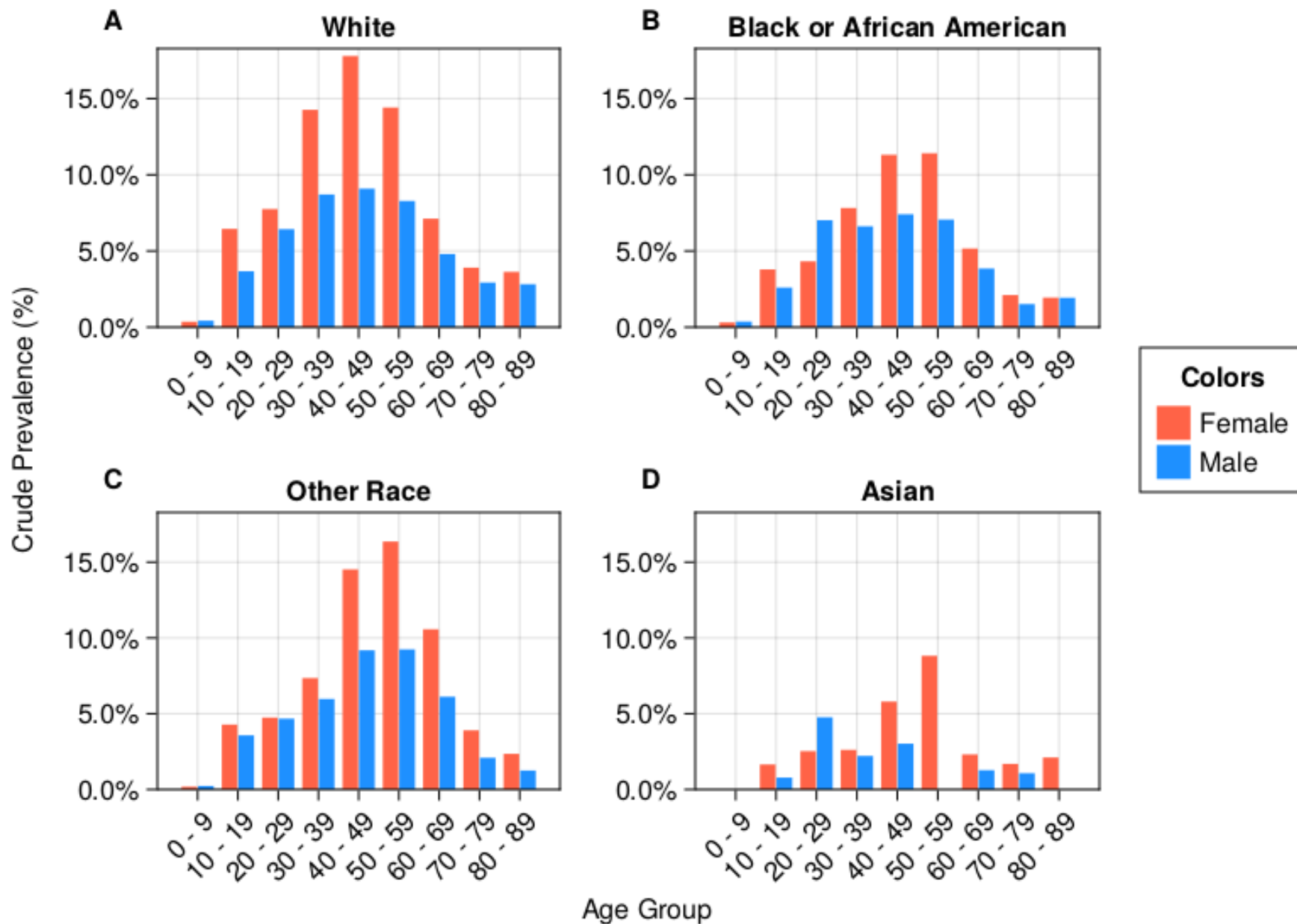
Methods

- Basic stratification algorithms
- Crude prevalence calculation

$$(1) \quad P = \frac{C + C_p}{N + N_p}$$

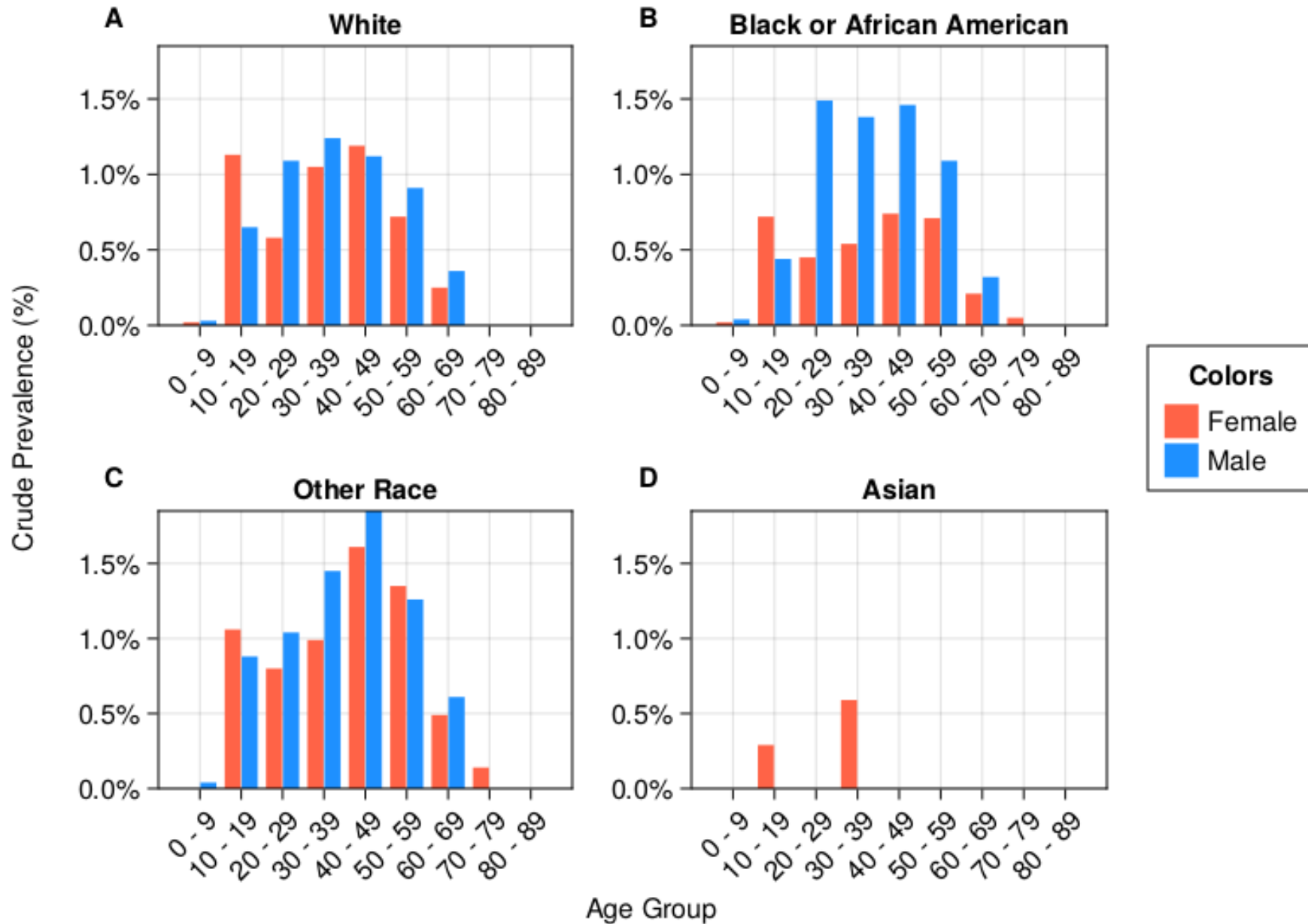
$$(2) \quad P = \frac{C}{N}$$

Crude Prevalence of Depression in Georgia Subpopulations



Age Groups	White		Black or African American		Other Race		Asian	
	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)
0 - 9	0.43 (0.0)	0.36 (0.0)	0.37 (-13.95)	0.31 (-13.89)	0.23 (-46.51)	0.18 (-50.0)	N/A	N/A
10 - 19	3.67 (0.0)	6.45 (0.0)	2.6 (-29.16)	3.79 (-41.24)	3.57 (-2.72)	4.28 (-33.64)	0.78 (-78.75)	1.65 (-74.42)
20 - 29	6.43 (0.0)	7.75 (0.0)	7.02 (9.18)	4.32 (-44.26)	4.67 (-27.37)	4.74 (-38.84)	4.76 (-25.97)	2.53 (-67.35)
30 - 39	8.71 (0.0)	14.26 (0.0)	6.62 (-24.0)	7.82 (-45.16)	5.97 (-31.46)	7.35 (-48.46)	2.21 (-74.63)	2.61 (-81.7)
40 - 49	9.09 (0.0)	17.79 (0.0)	7.41 (-18.48)	11.31 (-36.42)	9.18 (0.99)	14.52 (-18.38)	3.02 (-66.78)	5.8 (-67.4)
50 - 59	8.28 (0.0)	14.41 (0.0)	7.06 (-14.73)	11.41 (-20.82)	9.24 (11.59)	16.36 (13.53)	N/A	8.82 (-38.79)
60 - 69	4.8 (0.0)	7.12 (0.0)	3.85 (-19.79)	5.16 (-27.53)	6.12 (27.5)	10.57 (48.46)	1.27 (-73.54)	2.31 (-67.56)
70 - 79	2.93 (0.0)	3.91 (0.0)	1.52 (-48.12)	2.11 (-46.04)	2.09 (-28.67)	3.9 (-0.26)	1.07 (-63.48)	1.69 (-56.78)
80 - 89	2.82 (0.0)	3.63 (0.0)	1.93 (-31.56)	1.94 (-46.56)	1.25 (-55.67)	2.35 (-35.26)	N/A	2.11 (-41.87)

Crude Prevalence of Suicidality in Georgia Subpopulations



Age Groups	White		Black or African American		Other Race		Asian	
	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)	Male Prev. (%)	Female Prev. (%)
0 - 9	0.03 (0.0)	0.02 (0.0)	0.04 (33.33)	0.02 (0.0)	0.04 (33.33)	N/A	N/A	N/A
10 - 19	0.65 (0.0)	1.13 (0.0)	0.44 (-32.31)	0.72 (-36.28)	0.88 (35.38)	1.06 (-6.19)	N/A	0.29 (-74.34)
20 - 29	1.09 (0.0)	0.58 (0.0)	1.49 (36.7)	0.45 (-22.41)	1.04 (-4.59)	0.8 (37.93)	N/A	N/A
30 - 39	1.24 (0.0)	1.05 (0.0)	1.38 (11.29)	0.54 (-48.57)	1.45 (16.94)	0.99 (-5.71)	N/A	0.59 (-43.81)
40 - 49	1.12 (0.0)	1.19 (0.0)	1.46 (30.36)	0.74 (-37.82)	1.85 (65.18)	1.61 (35.29)	N/A	N/A
50 - 59	0.91 (0.0)	0.72 (0.0)	1.09 (19.78)	0.71 (-1.39)	1.26 (38.46)	1.35 (87.5)	N/A	N/A
60 - 69	0.36 (0.0)	0.25 (0.0)	0.32 (-11.11)	0.21 (-16.0)	0.61 (69.44)	0.49 (96.0)	N/A	N/A
70 - 79	N/A	N/A	N/A	0.05 (N/A)	N/A	0.14 (N/A)	N/A	N/A
80 - 89	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Project Impact

The screenshot shows the documentation for OMOPCDMcohortCreator.jl. The page title is "Beginner Tutorial" with a penguin icon. The navigation menu on the left includes Home, Tutorials, and Contributing. Under Tutorials, there is a sub-menu for "Beginner Tutorial" with links to "Environment Set-Up", "Connecting to the Eunomia Database", "Characterizing Patients Who Have Had Strep Throat", "Conclusion", and "Appendix". The main content area has a breadcrumb "Tutorials / Beginner Tutorial" and an "Edit on GitHub" link. The "Beginner Tutorial" section lists the same sub-tutorials. Below the list, a paragraph states: "This tutorial presents a step by step guide on using OMOPCDMcohortCreator to run a mini characterization study! You will learn the basics of OMOPCDMcohortCreator and how to use it with fake data that you could then apply to your real data sets. Basic knowledge of Julia (such as installing packages into environments and working with the Julia REPL and Julia files) is necessary; you can learn all [that here](#)." Below this is the "Environment Set-Up" section with a pencil icon, followed by the text: "For this tutorial, you will need to activate an environment; to get into package mode within your Julia REPL, write]:". A code block shows the command:

```
pkg> activate TUTORIAL
```

The screenshot shows the README.md file for the HealthSampleData package. The title is "HealthSampleData". The text describes the package: "Sample health data sources for a variety of health formats and use cases. Uses the wonderful DataDeps.jl package to automatically download, hash, and manage the download for you." Below this is the "Provided Data Sources" section, which includes "Observational Medical Outcomes Partnership Common Data Model (OMOP CDM)". The "Source Description" states: "The Observational Medical Outcomes Partnership (OMOP) was created in 2009 to reach consensus on data types, study designs, and privacy concerns while sharing data. An important output of OMOP is the OMOP Common Data Model (OMOP CDM) which is an effort to standardize observational data to enable transferable analysis. [@overhage2012validation] The OMOP CDM features personcentric design where each domain records personal identity while prioritizing data protection through the limiting of information that could endanger patient anonymity. The CDM itself does not require a specific technology to work with the data stored in this standard." Below this, it lists "Available OMOP CDM data sources:" and includes a bullet point: "• Eunomia - Synthetic OMOP CDM data generated by Synthea available in a single sqlite file."

Next Steps

- Deploy network studies at Partner Sites
- Expand Baseline & Follow-up Characterization
- Determine best approach in composite analyses
- Work on formalizing further definitions

Confirmed Data Partners

- Tufts Medical Center
 - Tufts Medical Center Data
 - 1.2M patients
 - Wellforce
- N3C COVID database
 - Securing access
 - 16M patients
- Georgia Tech Research Institute
 - CMS claims data
 - ~40M patients
- Boston Medical Center
 - 2M patients
- Ajou University
 - 1.5M patients
 - National data access: 20M+ patients

Ways to Get Involved!

- Become a data partner!
- Assist in creating chronic mental illness phenotype definitions
- Discuss final analyses approaches!

Questions?

OHDSI Teams!

jacob.zelko@gtri.gatech.edu

Acknowledgements:

Jon Duke – for his expert guidance and support on making this project a reality

Malina Hy & Varshini Chinta – for being excellent student assistants

Charity Hilton & Megan Denham – for their amazing feedback and support

Dana Stocks & Marla Gorges – for unraveling the mystery of budgeting to me

HEAT-D – for being an **amazingly** supportive and encouraging group!

OHDSI Psychiatry & Health Equity Workgroups – for being friendly and supportive in my questions